

Atty. Docket No.: 009848-0276371

JB05 Rec'd PCT/PTO 30 APR 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Group Art Unit: 1642

PETER KUFER, et al.

Examiner: NYA

Serial No.: 09/744,625

I hereby certify that this paper and the attached papers are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents Washington, DC 20231, on this date.

Filed: July 16, 2001

Title: HETEROMINIBODIES

4/26/02
Date

Suzanne L. Simpson

TRANSMITTAL

United States Patent and Trademark Office
Attn: Box Sequence
P.O. Box 2327
Arlington, VA 22202

Sir:

Transmitted herewith in the above-identified application are the following documents:

1. Response to Notification of Defective Response;
2. Copy of Notification of Defective Response;
3. Statement Under 37 CFR § 1.821(f) and (g);
4. Sequence Listing in computer readable format and paper copies;
5. Change of Address Notice; and
6. Postcard.

Respectfully submitted,

By:
ROBERT M. BEDGOOD
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UNITED STATES PATENT AND TRADEMARK OFFICE

 Commissioner for Patents, Box PCT
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 Washington, D.C. 20231
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| | | |
|---|--------------------------------------|----------------------------------|
| U.S. APPLICATION NUMBER NO. 09/744,625 | FIRST NAMED APPLICANT Peter Kufer | ATTY. DOCKET NO. 07258-023001 |
|---|--------------------------------------|----------------------------------|

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|---|-----------------------------|
| INTERNATIONAL APPLICATION NO. PCT/EP99/05416 | |
| I.A. FILING DATE 07/28/1999 | PRIORITY DATE 07/28/1998 |

 Pillsbury Winthrop
 50 Freemont Street Fifth Floor
 San Francisco, CA 94105-2230
RECEIVED**APR 03 2002**

PILLSBURY WINTHROP LLP/SF

CONFIRMATION NO. 3114**371 FORMALITIES LETTER**

OC000000007707214

Date Mailed: 03/27/2002

NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fee
- Priority Document
- Assignee Statement
- Biochemical Sequence Diskette
- Biochemical Sequence Listing
- Claims
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Drawings
- Initial Application Filing Fees
- Oath or Declaration
- Oath or Declaration
- Original Specification
- Request for Immediate Examination
- Small Entity Statement

RECEIVED
 PILLSBURY WINTHROP LLP/SF
APR 03 2002
 CL# 9848 MT# 0276371
 ATTY(S) JRW/RMB
 DUE: 4/27/02
 DKT BY (1) ALB (2) _____

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

Applicant is required to complete the response within a time limit of **ONE MONTH** from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).

The following items **MUST** be furnished within the period set forth below:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):
 - The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 CFR 1.825(d).
 - See Attachment(CRF Problem Report) P.S. if not received please contact Catherine Short at (703)305-3620.....
 - APPLICANT MUST PROVIDE:
 - An initial or substitute computer readable form (CRF) of the "Sequence Listing."
 - An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.
- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
 - For Rules Interpretation, call (703) 308-4216
 - To Purchase PatentIn Software, call (703) 306-2600
 - For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov
- The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 CFR 1.825(d).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

PATRICIA A BOOKER

Telephone: (703) 305-3738

PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 09/744,625 | PCT/EP99/05416 | 07258-023001 |

Read fault error reading drive A
Abort, Retry, Fail?F

09/744625

Read fault error reading drive A
Abort, Retry, Fail?
Abort, Retry, Fail?

← onscreen :

message when STC PC
tried to read submitted disk

= =

Put/09

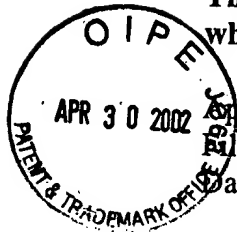
5000

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CRF Problem Report

The Scientific and Technical Information Center (STIC) experienced a problem when processing the following computer readable form (CRF):



Application Serial Number: 09/744,625
Filing Date: 7/16/2001
Date Processed by STIC: 3/5/2002

STIC Contact: Mark Spencer, 703-308-4212

Nature of Problem:

The CRF (was):

- ☒ (circle one) Damaged or Unreadable (for Unreadable, see attached)
☐ Blank (no files on CRF) (see attached)
☐ Empty file (filename present, but no bytes in file) (see attached)
☐ Virus-infected. Virus name: _____ The STIC will not process the CRF.
☐ Not saved in ASCII text
☐ Sequence Listing was embedded in the file. According to Sequence Rules, submitted file should **only** be the Sequence Listing.
☐ Did not contain a Sequence Listing. (see attached sample)
☐ Other: _____

**PLEASE USE THE CHECKER VERSION 3.1 PROGRAM TO REDUCE ERRORS.
SEE BELOW FOR ADDRESS:**

<http://www.uspto.gov/web/offices/pac/checker>

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail.

Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom.

Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

1. EFS-Bio (<http://www.uspto.gov/ebc/efs/downloads/documents.htm>), EFS Submission User Manual - ePAVE)
2. U.S. Postal Service: U.S. Patent and Trademark Office, Box Sequence, P.O. Box 2327, Arlington, VA 22202
3. Hand Carry directly to:
U.S. Patent and Trademark Office, Technology Center 1600, Reception Area, 7th Floor, Examiner Name,
Sequence Information, Crystal Mall One, 1911 South Clark Street, Arlington, VA 22202
Or
U.S. Patent and Trademark Office, Box Sequence, Customer Window, Lobby, Room 1B03, Crystal Plaza Two,
2011 South Clark Place, Arlington, VA 22202
4. Federal Express, United Parcel Service, or other delivery service to: U.S. Patent and Trademark Office,
Box Sequence, Room 1B03-Mailroom, Crystal Plaza Two, 2011 South Clark Place, Arlington, VA 22202

Revised 01/29/2002